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11/2/04

PTO/SB/21 (05-03) (AW 07-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/806,651	RECEIVED SEP 02 2003 Technology Center 2600
	Filing Date	May 29, 2001	
	First Named Inventor	Hiroiyuki Uenaka	
	Art Unit	2615	
	Examiner Name		
Total Number of Pages in This Submission 5 & References	Attorney Docket No.	MTS-3251US	

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/Declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 8 References, JP Office Action Return Postcard
Remarks:		

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT			
Firm or Individual	Allan Ratner	Registration No. (Attorney/Agent)	19,717
Signature			
Date	August 27, 2003		

CERTIFICATE OF TRANSMISSION / MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date:			
			August 27, 2003
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Signature		Date	August 27, 2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

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USPTO-3251US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No: 09/806,651
Applicant: Hiroyuki Uenaka et al.
Filed: May 29, 2001
Title: EPG INFORMATION DISPLAY METHOD, EPG INFORMATION DISPLAY
DEVICE, VIDEO RECORDING/REPRODUCING DEVICE, AND PROGRAM
TC/A.U.: 2615
Examiner:
Confirmation No.: 3316

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (R&P) submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The following Japanese language references have been cited in the enclosed Japanese Office Action:

- 1) JP 10-98656
- 2) JP 7-284035
- 3) JP 9-289616
- 4) JP 7- 107378

- 5) JP 9-149326
- 6) JP 10-150642
- 7) JP 9-130694
- 8) JP 9-322088
- 9) JP 6-504165
- 10) JP 7-184131
- 11) JP 10-208327
- 12) JP 10-42242
- 13) JP 9-130688

References 2, 7, 8 and 12 include a English abstract.

References 2, 7 include a partial English translation.

References 8, 9, 12 include a U.S./European corresponding patent.

References 1, 3-6, 10, 11, 13 were cited in a previous IDS filed with the USPTO on April 2, 2001 and, therefore, are not being submitted at this time.

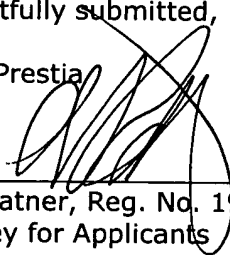
This Information Disclosure Statement is being filed before mailing of the first Official Action. No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee is required. 37 C.F.R. § 1.97(b).

STATEMENT

The undersigned hereby states that each item of information disclosed in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

RatnerPrestia


Allan Ratner, Reg. No. 19,717
Attorney for Applicants

AR/kc

Enclosures: PTO Form 1449
(8) References
Japanese Office Action
Transmittal Form

Dated: August 27, 2003

☒ P.O. Box 980
Valley Forge, PA 19482
(610) 407-0700

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. **18-0350** of any fees associated with this communication.

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August 27, 2003

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Substitute for Form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

SHEET 1 of 2

Complete if Known

Application Number	09/806,651
Filing Date	May 29, 2001
First Named Inventor	Hiroyuki Uenaka
Art Unit	2615
Examiner Name	
Attorney Docket No.	MTS-3251US

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number - Kind Code ² (if known)	Issue Date/ Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-6,421,828 B1 (corresponds to JP 9-322088)	07/16/2002	Wakisaka et al.	Entire Document
		US-2002/0054063 A1 (corresponds to JP 10-42242)	05/09/2002	Nishina et al.	Entire Document
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		JP 7-284035 (w/English Abstract, partial English translation)	10/27/1995	Toshiba Corp	Entire Document	<input checked="" type="checkbox"/>
		JP 9-130694 (w/English Abstract, partial English translation)	05/16/1997	Matsushita Electric Ind Co Ltd	Entire Document	<input checked="" type="checkbox"/>
		JP 9-322088 (w/English Abstract, corresponds to US 6,421,828)	12/12/1997	Sanyo Electric Co Ltd	Entire Document	<input type="checkbox"/>
		JP 6-504165 (corresponds to WO 92/04801)	12/05/1994		Entire Document	<input type="checkbox"/>
		WO 92/04801 (corresponds to JP 6-504165)	03/19/1992	Insight Telecast Inc	Entire Document	<input type="checkbox"/>
		JP 10-42242 (w/English Abstract, corresponds to US 2002/0054063)	02/13/1998	Sony Corp	Entire Document	<input type="checkbox"/>

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

¹Applicant's unique citation designation number (optional).

²See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

⁶Applicant is to place a check mark here if English language translation is attached.

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~~(Use as many sheets as necessary)~~

Application Number	09/806,651
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First Named Inventor	Hiroyuki Uenaka
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Art Unit	2615
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Examiner Name

Attorney Docket No.	MTS-3251US
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SHEET 2 of 2

NON-PATENT LITERATURE DOCUMENTS

Examiner Signature		Date Considered	
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¹Applicant's unique citation designation number (optional).

²Applicant is to place a check mark here if English language translation is attached.

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